



RCE 2006

PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

December 20, 2006

Date

Sherri A. Phillips

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appl. No. : 10/817,627

Confirmation No. : 2837

Applicant : Guy F. Hudson

Filed : April 1, 2004

Attorney Docket No. : 500155.06

Art Unit : 1723

Customer No. : 27,076

Examiner : Krishnan S. Menon

Title : SYSTEMS FOR MAKING AND USING BI-MODAL ABRASIVE SLURRIES FOR MECHANICAL AND CHEMICAL-MECHANICAL PLANARIZATION OF MICROELECTRONIC-DEVICE SUBSTRATE ASSEMBLIES

**REQUEST FOR CONTINUED EXAMINATION (RCE)**  
**UNDER 37 C.F.R. § 1.114**

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant requests continued examination, under 37 C.F.R. § 1.114, of the above-identified application.

12/27/2006 BABRAHAI 00000084 10817627

01 FC:1801

790.00 OP

**1. Submission Required Under 37 C.F.R. § 1.114**

a. ☐ Submission previously submitted

i. ☐ Consider the amendment/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_.

ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_.

iii. ☐ Consider the Information Disclosure Statement (IDS) previously filed on \_\_\_\_\_.

b. ☒ Submission enclosed

i. ☒ Amendment/Reply

ii. ☐ Affidavit(s)/Declaration(s)

iii. ☐ Information Disclosure Statement (IDS)

**2. Suspension of Action** (Period of suspension shall not exceed 3 months; fee under 37 C.F.R. § 1.17(i) required)

☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_ months.

**3. Accompanying Papers**

☒ Postcard

☒ Check

☒ Fee Transmittal Sheet

☐ Petition

**4. Deposit Account**

☒ The Commissioner is authorized to charge any additional fees required or credit any overpayment to Deposit Account No. 50-1266.

Respectfully submitted,

Date: 12-20-06

By: Karen Lenaburg

Karen Lenaburg

Reg. No. 58,371

Customer No. 27,076

Dorsey & Whitney LLP

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(206) 903-2399

Attorney for Applicant

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Effective on 12/08/04



# TRANSMITTAL SHEET (FY 2006)

Complete if Known

Application No.	10/817,627
Filing Date	April 1, 2004
First Inventor	Guy F. Hudson
Group Art Unit	1723
Examiner Name	Krishnan S. Menon
Atty. Docket Number	500155.06

☐ Applicant claims small entity status (see 37 C.F.R. 1.27)

## METHOD OF PAYMENT (Check One)

☒ The Director is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No. **50-1266**; Deposit Account Name: **DORSEY & WHITNEY LLP**.

☒ Check Enclosed.

## Extra Claim Fees

Current Claims	Prior	Extra	Fee	Fee Paid
Total 29	- 31	= 0	x \$50	= \$0
Ind. 2	- 3	= 0	x \$200	= \$0
Multiple Dependent Claims		0	x \$360	= \$0
Subtotal (Extra Claims)				\$0

## Petition Fee Under 37 CFR 1.17(f), (g), &amp; (h)

Enclosed is a Petition filed under 37 CFR as indicated below:

☐ Petition Fee under 37 CFR 1.17(f) Fee \$400

§ 1.53(e) to accord a filing date.  
 § 1.57(a) to accord a filing date.  
 § 1.182 for decision on a question not provided for.  
 § 1.183 to suspend the rules.  
 § 1.378(e) for reconsideration of decision on petition refusing delayed payment of maintenance fee in expired patent.  
 § 1.174(b) to accord a filing date to an application under § 1.740 for extension of patent term.

☐ Petition Fee under 37 CFR 1.17(g) Fee \$200

§ 1.12 for access to an assignment record.  
 § 1.14 for access to an application.  
 § 1.47 for filing by other than all inventors or person not the inventor.  
 § 1.59 for expungement of information.  
 § 1.103(a) to suspend action in an application.  
 § 1.136(b) for review of a request for ext. of time when § 1.136(a) not avail.  
 § 1.295 for review of refusal to publish a statutory invention registration.  
 § 1.296 to withdraw a req. for pub. after notice of intent to publish issued.  
 § 1.377 for review of decision refusing to accept a maintenance fee filed prior to expiration of a patent.  
 § 1.550(c) for request for ext. of time in *ex parte* reexam. proceedings.  
 § 1.956 for request for ext. of time in *ex parte* reexam. proceedings.  
 § 5.12 for expedited handling of foreign filing license.  
 § 5.15 for changing the scope of a license.  
 § 1.5.25 for retroactive license.

☐ Petition Fee under 37 CFR 1.17(h) Fee \$130

§ 1.19(g) to request documents in a form other than provided in this part.  
 § 1.84 for accepting color drawings or photographs.  
 § 1.91 for entry of a model or exhibit.  
 § 1.102(d) to make an application special.  
 § 1.138(c) to expressly abandon an application to avoid publication.  
 § 1.313 to withdraw an application from issue.  
 § 1.314 to defer issuance of a patent.

## FEE CALCULATION (Continued)

## 3. ADDITIONAL FEES

Large Entity Fee	Small Entity Fee	Fee Description	Fee paid
50	25	Surcharge - late provisional filing fee or cover sheet	\$
130	65	Surcharge - Late nonprovisional filing fee or oath	\$
180	180	Submission of IDS	\$
40	40	Recording each patent assignment per property (times number of properties)	\$
120	60	Extension for reply within first month	\$
450	225	Extension for reply within second month	\$
1,020	510	Extension for reply within third month	\$
1,590	795	Extension for reply within fourth month	\$
2,160	1,080	Extension for reply within fifth month	\$
790	395	Submission After Final 1.129	\$
500	250	Notice of Appeal	\$
500	250	Filing a brief in support of an appeal	\$
1,000	500	Request for oral hearing	\$
130	65	Terminal Disclaimer Fee	\$
800	400	Design Issue Fee	\$
790	395	Request for Continued Examination (RCE)	\$790
130		Request for voluntary publication or republication	\$
500	250	Petition to Revive - unavoidable	\$
1,500	750	Petition to Revive - unintentional	\$
200		Filing for patent term adjustment	\$
400		Request for reinstatement of term reduced	\$
1,120		Extension of term of patent	\$
OTHER FEE (specify)			\$
Subtotal (Additional Fees)			\$0
Total Amount of Payment:			\$790

Submitted by:

CUSTOMER NUMBER  
27,076

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Reg. No.: 58,371

Signature: *Karen Lenaburg*

Date:

12/20/06